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# Pre-Consent E-Sign Authorization Disclosure Form

Traditional | Roth | SEP | SIMPLE

PTC IRA Account Number

## SECTION 1 | IRA Account Owner Information

The **original** Pre-Consent Authorization Disclosure Form must be received by Preferred Trust Company before E-Sign communication can be sent and received.

First Name	<input type="text"/>	Initial	<input type="text"/>	Last Name	<input type="text"/>
E-mail	<input type="text"/>				

The Pre-Consent E-Sign Authorization Disclosure ("Disclosure"), applies to all Communications for those products and services offered through Preferred Trust Company, LLC (Preferred Trust) that are not otherwise governed by the terms and conditions of an electronic disclosure and consent.

The words "**we**," "**us**," and "**our**" refer to the Preferred Trust entity with whom you have your Account, and the words "**you**" and "**your**" mean you, the individual(s) or entity identified on the Account(s). As used in this Disclosure, "**Account**" means the account you have with us. "**Communication**" means any customer agreements or amendments thereto, disclosures, notices, transaction history, privacy policies and all other information related to the product or service, including but not limited to information that we are required by law to provide to you in writing.

1. **Scope of Communications to Be Provided in Electronic Form.** When you use a product or service to which this Disclosure applies, you agree that we may provide you with any Communications in electronic format, and that we may discontinue sending paper Communications to you, unless and until you withdraw your consent as described below. Your consent to receive electronic Communications and transactions includes, but is not limited to:

- account setup paperwork and subsequent materials associated with the product or service available through Preferred Trust for your Account;
- all legal and regulatory disclosures and communications associated with the product or service available through Preferred Trust for your Account;
- notices or disclosures about a change in the terms with the product or service available through Preferred Trust for your Account; and
- privacy policies and notices.

2. **Method of Providing Communications to You in Electronic Form.** All Communications that we provide to you in electronic form will be provided either (1) via e-mail, (2) by access to a web site that we will designate in an e-mail notice we send to you at the time the information is available, or (3) to the extent permissible by law, by access to a web site that we will generally designate in advance for such purpose.

3. **How to Withdraw Consent.** You may withdraw your consent to receive Communications in electronic form by contacting us at (888) 990.7892 or send an e-mail to info@ptcemail.com. At our option, we may treat your provision of an invalid e-mail address, or the subsequent malfunction of a previously valid e-mail address, as a withdrawal of your consent to receive electronic Communications. Any withdrawal of your consent to receive electronic Communications will be effective only after we have a reasonable period of time to process your withdrawal.

4. **How to Update Your Records.** It is your responsibility to provide us with true, accurate and complete e-mail address, contact, and other information related to this Disclosure and your Account(s), and to maintain and promptly update any changes in this information. You can update information (such as your e-mail address) by contacting us at (888) 990.7892 or send an e-mail to info@ptcemail.com.

5. **Hardware and Software Requirements.** In order to access, view, and retain electronic Communications that we make available to you, you must have:

- an Internet browser that supports 128 bit encryption;
- sufficient electronic storage capacity on your computer's hard drive or other data storage unit;
- an e-mail account with an Internet service provider and e-mail software in order to participate in our electronic Communications programs; and
- a personal computer (for PC's: Pentium 120 Hhz or higher; for Macintosh, Power Mac 9500, Power PC 604 processor 120-MHz Base or higher), operating system and telecommunications connections to the Internet capable of receiving, accessing, displaying, and either printing or storing Communications received from us in electronic form via a plain text-formatted e-mail or by access to our web site using one of the browsers specified above.

6. **Requesting Paper Copies.** We will not send you a paper copy of any Communication, unless you request it in writing or we otherwise deem it appropriate to do so. You can obtain a paper copy of an electronic Communication by printing it yourself or by requesting that we mail you a paper copy, provided that such request is made within a reasonable time after we first provided the electronic Communication to you. We reserve the right, but assume no obligation, to provide a paper (instead of electronic) copy of any Communication that you have authorized us to provide electronically.

7. **Communications in Writing.** All Communications in either electronic or paper format from us to you will be considered "in writing." You should print or download for your records a copy of this Disclosure and any other Communication that is important to you.

8. **Federal Law.** You acknowledge and agree that your consent to electronic Communications is being provided in connection with a transaction affecting interstate commerce that is subject to the federal Electronic Signatures in Global and National Commerce Act, and that you and we both intend that the Act apply to the fullest extent possible to validate our ability to conduct business with you by electronic means.

9. **Termination/Changes.** We reserve the right, in our sole discretion, to discontinue the provision of your electronic Communications, or to terminate or change the terms and conditions on which we provide electronic Communications. We will provide you with notice of any such termination or change as required by law.

10. **Consent.** By signing the Pre-Consent E-Sign Authorization Disclosure Form, I have read and accept the above agreement, you hereby give your affirmative consent to provide electronic Communications to you as described herein. You further agree that your computer satisfies the hardware and software requirements specified above and that you have provided us with a current e-mail address at which we may send electronic Communications to you.

## SECTION 2 | Signatures

I have read and accept the above agreement, and hereby give Preferred Trust Company affirmative consent to provide electronic Communications as described herein. I further agree that my computer satisfies the hardware and software requirements specified above and that I have provided Preferred Trust with a current e-mail address at which Preferred Trust may send electronic Communications.

Signature of IRA Account Owner

Date

Print Name