PREFERRED TRUST

Client Service | 888.990.7892
E. clientservice@ptcemail.com
F. 702.946.0136
W. www.preferredtrustcompany.com
6700 Via Austi Parkway, Suite 301
Las Vegas, NV 89119

PTC IRA Account Intake Form

Account Type ◯ Traditional ◯ Roth ◯ SEP ◯ SIMPLE

Client Name

SECTION 1 | Application Checklist

PLEASE NOTE: Preferred Trust Company will review all applications within two (2) business days upon receipt.

Has application been completed and signed?	◯ Yes	◯ No
Is address verification included if P.O. Box used on application?	◯ Yes	◯ No
All beneficiary information completed and equals 100% for Primary **and** Contingent, if applicable.	◯ Yes	◯ No
Spousal Consent section initialed by client as "I am Married" or "I am Not Married".	◯ Yes	◯ No
Interested Party Designation completed?	◯ Yes	◯ No
All sections of the Transfer Form have been completed for each transfer?	◯ Yes	◯ No

SECTION 2 | Transfer Checklist

PLEASE NOTE: Preferred Trust Company provides Medallion Signature Guarantee on all transfers. Transfers will be submitted with two (2) business days after completed application has been received. Custodial follow-up will occur within two (2) business days after transfer has been submitted. Accounts will be opened/funded within two (2) business days of receipt of funds.

Custodian/Financial Institution Name

Transfer Department Status Phone Number

Are the funds eligible for transfer? ◯ Yes ◯ No

Has the client initiated the transfer? ◯ Yes ◯ No

Type of account transferring:

Are the funds in a liquid position? ◯ Yes ◯ No

If the funds are **not** liquid, please make sure the applicable option is selected on the Transfer Form under Section 5 (Transfer Instructions - Investments).
Please Note: We cannot process in-kind transfers of stocks, bonds or mutual funds.

Does the transferring Custodian/Financial Institution accept our documents?	◯ Yes	◯ No
Does the Custodian/Financial Institution require original forms?	◯ Yes	◯ No
Has a prepaid FedEx/UPS label been provided to client?	◯ Yes	◯ No

If **No**, provide the overnight address to send Transfer documentation to:

If originals are **not** required provide fax number or email address. Fax Number Email Address

Is a Corporate Resolution required? ◯ Yes ◯ No

Is an LOA required? ◯ Yes ◯ No

SECTION 3 | Depository Selection

☐ Delaware Depository (DDSC)
- ☐ Segregated Storage
- ☐ **Non**-Segregated Storage

☐ International Depository Services (IDS)

DDSC Location

IDS Location

SECTION 4 | Fees Paid by Dealer/Vendor

- ☐ Establishment Fee | **$50.00**
- ☐ Transaction Fee | **$50.00**
- ☐ Wire In Fee | **$30.00**
- ☐ Wire Out Fee | **$30.00**

☐ Administration Fee paid by Dealer/Vendor | **$300.00**